

ENFORCEMENT GUIDANCE LIST

Note: This is a guidance to help the staff in assessing enforcement options and penalties to a variety of violations within the pretreatment program. Not all violations or circumstances may be listed. The enforcement option, citation amount or AO should fit the violation type and severity. If not listed, choose similar enforcement options and point values that are similar to those listed. A NOV will accompany every violation regardless whether a citation is issued or not.

Ordinance #	Violation description	Enforcement Options	Non SIU	SIU
29.04(2)	Proper maintenance of laterals	NOV, citation, AO	0.2	0.5
29.04(3)(a)	Adoption and violation of state plumbing codes	NOV, citation, AO	0.2	0.5
29.04(3)(c)	Grease traps required	NOV, citation, AO	0.2	0.5
29.04(3)(f)	Oil separator required	NOV, citation, AO	0.2	0.5
29.04(3)(g)	Sand interceptor required	NOV, citation, AO	0.2	0.5
29.04(3)(h)	Proper maintenance and log records of interceptors	NOV, citation, AO	0.2	1
29.04(5)	Plumbing permit required	NOV, citation, AO	0.5	1
29.045(2)	Plumbing approval. Plumbers to notify if blockage released or cleaned to sewer	NOV, citation, AO	0.2	0.5
29.04(3)	Mandatory hook up to sewer	NOV, citation, AO	0.2	0.5
29.04(4)	Septic tanks prohibited	NOV, citation, AO	0.2	0.5
29.045(6)	Reclamation hauler permit requirement	NOV, citation, AO	0.2	0.5
29.045(8)	City rights to inspection	NOV, citation, AO	0.2	0.5
29.10(2)(a)	Substances that may cause fire or explosion (potential harm)	NOV, citation, AO	0.2	0.5
	Substances that cause fire or explosion (harm)	NOV, citation, AO	3	4
29.10(2)(b)	Flashpoint < 104°F	NOV, citation, AO	1	2
29.10(2)(c)	> 5% above lower explosive limit in 2 successive readings	NOV, citation, AO	0.5	1
29.10(2)(d)	>10% of lower explosive reading in 1 reading	NOV, citation, AO	1	2
29.10(2)(e)	Gas, antifreeze, sulfur, etc. that may cause damage, harm, pass through, interference (damage, harm, health, prevents entry to sewer, etc.)	NOV, citation, AO	0.2	0.5
		NOV, citation, AO	2	3
29.10(2)(f)	Solids other material that may cause blockage	NOV, citation, AO	0.2	0.5
	Causes blockage, health hazard, contributes to SSO	NOV, citation, AO	1	2
29.10(2)(g)	pH < 6.0 but > 5.0, no plant effect, no harm (See permit excursions)	NOV, citation, AO	0.2	0.5
	pH < 5.0 (corrosive), less than 15 minutes or no harm to system (see permit)	NOV, citation, AO	0.5	1
29.10(2)(h)	pH > 9.0 but < 12, less than 15 minutes or no effect on system (see permit)	NOV, citation, AO	0.2	0.5
	pH > 12.0 or effect (effect. pH > 12 corrosive)	NOV, citation, AO	0.2	0.5
29.10(2)(i)	Toxic material that may create a hazard (minor conditions, no safety risk, etc)	NOV, citation, AO	1	2
	Toxic material that creates a hazard (major issue, damage, safety risk)	NOV, citation, AO	2	3
29.10(2)(j)	Toxic material as identified in ss.307(a) or WI Ch. 147	NOV, citation, AO	2	3
29.10(2)(k)	Noxious or malodorous that creates public nuisance	NOV, citation, AO	0.5	1
	Noxious or malodorous prevents entry, creates hazard	NOV, citation, AO	1	2
29.10(2)(l)	Substance that cause effluent or sludge unsuitable for reclamation or reuse	NOV, citation, AO	3	3
29.10(2)(m)	Substance that causes violation sludge disposal	NOV, citation, AO	3	3
29.10(2)(n)	Substances that cause effluent to violate permit conditions	NOV, citation, AO	3	3
29.10(2)(o)	Objectionable color	NOV, citation, AO	0.2	0.5
29.10(2)(p)	Temperature exceeding 104°F or industrial of 150°F to inhibit biological activity	NOV, citation, AO	0.2	0.5
29.10(2)(q)	Unpolluted water such as cooling water, storm water or groundwater w/o permission	NOV, citation, AO	0.2	0.5
29.10(2)(r)	Fat, grease or oil > 300 mg/L, mineral origin >25	NOV, citation, AO	0.2	0.5
29.10(2)(t)	Radioactive material	NOV, citation, AO	1	2
29.10(2)(u)	Any wastewater causing sanitary sewer overflows	NOV, citation, AO	1	2
29.13	Exceeds local limits	NOV, citation, AO	0.2	0.5
29.14	State limitations	NOV, citation, AO	0.2	0.5
29.16	Dilute discharge for compliance	NOV, citation, AO	0.2	0.5
29.17	Requirement to have a SPCC	NOV, citation, AO	0.2	0.5
29.30(1)	Must obtain a permit	NOV, citation, AO	0.2	0.5
29.30(2)	Requirement to submit permit application	NOV, citation, AO	0.2	0.5
29.30(6)	Violates conditions of a permit (not specifically listed)	NOV, citation, AO	0.5	see SIU
29.31(1)	Initial compliance report (categorical or new source)	NOV, citation, AO	0.2	0.5
29.31(2)	Compliance report	NOV, citation, AO	0.5	1
29.31(3)	Semi annual compliance report (< 30days or > 30 days)	NOV, citation, AO	0	0.5
29.31(4)(a)	Calibration of flow meter	NOV, citation, AO	0	0.5-1.0
29.31(4)(b)	Installation of monitoring facilities	NOV, citation, AO	0	1
29.31(4)(e)	Construction standards and specifications	NOV, citation, AO	0	0.5
29.31(5)	Failure to allow for inspection	NOV, citation, AO	0.2	0.5
29.31(6)	Properly operate and maintain pretreatment equipment	NOV, citation, AO	0.2	1
29.40	Slug/chemical release incident-no harm	NOV, citation, AO	0.2	0.5
	Slug/chemical release incident-causing threat, harm, plant issues	NOV, citation, AO	1	2
29.41(1)(a)	Fails to report wastewater characteristics	NOV, citation, AO	0.5	1
29.41(1)(b)	Fails to report significant changes to wastewater	NOV, citation, AO	0.2	0.5
29.41(1)(c)	Refuses City access for inspection/monitoring	NOV, citation, AO, Suspension	0.5	1
29.41(1)(d)	Violates conditions of permit or SUO	NOV, Citation, AO, Termination	1	2
29.41(1)(e)	Tampers with sampling equipment or flow devices	NOV, Citation, AO, Criminal	1	1
29.41(1)(f)	Fails to pay forfeiture, assessments or other costs	NOV, Citation, AO, Suspension	0.5	1
29.51	Falsifying documents	NOV, Citation, AO, Criminal	1	2